

#17

PTO/SB/82 (06-03)

Approved for use through 11/30/2005. OMB 0651-0035
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REVOCATION OF POWER OF ATTORNEY and APPOINTMENT OF NEW POWER OF ATTORNEY

Application Number	09/324,343
Filing Date	06/02/1999
First Named Inventor	Johan H. GEERKE
Art Unit	1617
Examiner Name	S.J. Sharareh
Attorney Docket Number	ALZA-0022 (ARC2865R3)

I hereby revoke all previous powers of attorney given in the above-identified application:

☐ A Power of Attorney submitted herewith.

OR

☒ I hereby appoint the practitioners at Customer Number:

Johnson & Johnson: 27777, and
Woodcock Washburn: 23377

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with
Customer Number:

23377

OR

<input type="checkbox"/> Firm or Individual Name	Woodcock Washburn				
Address					
Address					
City					
Country		State		Zip	
Telephone		Fax			

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name	Angela Nwaneri, Vice President Patent law, ALZA Corporation		
Signature			
Date	9-12-03	Telephone	(650) 564-2024

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ *Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

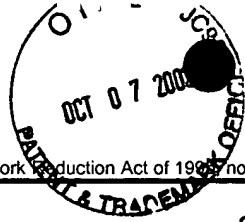
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED

OCT 09 2003

OFFICE OF PETITIONS

#18



Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: ALZA Corporation

Application No./Patent No.: 09/324,343 Filed/Issue Date: June 2, 1999

METHODS AND APPARATUS FOR DETERMINING FORMULATION ORIENTATION OF MULTI-LAYERED

Entitled: PHARMACEUTICAL DOSAGE FORMS

ALZA Corporation, a

Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 010011, Frame 0480, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Sept. 12, 2003

Date

(650) 564-2024

Telephone number

Angela Nwaneri

Typed or printed name

[Signature]

Signature

VP Patent law, ALZA Corporation

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

OCT 09 2003

OFFICE OF PETITIONS

RECEIVED



400286113 SK7
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUGUST 11, 1999

PTAS



101064584A

ALZA CORPORATION
SUSAN K. THOMAS
950 PAGE MILL ROAD
PO BOX 10950
PALO ALTO, CA 94303-0802

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/02/1999

REEL/FRAME: 010011/0480
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GEERKE, JOHAN H.

DOC DATE: 06/02/1999

ASSIGNOR:

STONE, STEVEN F.

DOC DATE: 06/02/1999

ASSIGNEE:

ALZA CORPORATION
950 PAGE MILL ROAD, P.O. BOX 10950
INTELLECTUAL PROPERTY DEPT., A1W
PALO ALTO, CALIFORNIA 94303-0802

SERIAL NUMBER: 09324343
PATENT NUMBER:

FILING DATE: 06/02/1999
ISSUE DATE:

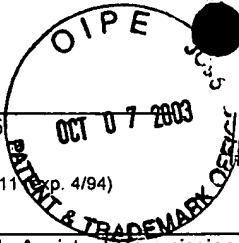
RECEIVED

OCT 09 2003

OFFICE OF PETITIONS

010011/0480 PAGE 2

LAWAN FLETCHER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



06-14-1999

Attorney's Docket No. ARC 2865 R3

Form PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (Exp. 4/94)



101064584

US DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Assistant Commissioner of Patents and Trademarks.
Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

Johan H. Geerke
Steven F. Stone

Additional name(s) of conveying
party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: ALZA CORPORATION
Intellectual Property Dept., A1W
950 Page Mill Road
PO Box 10950
Palo Alto, CA 94303-0802

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Other

☐ Change of Name

Execution Date:

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 2, 1999

A. Patent Application No.(s)

B. Patent No.(s)

Filed:

091324343

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence
concerning document should be mailed:

Attorney's Name:

Name: ALZA Corporation
950 Page Mill Road
PO Box 10950
Palo Alto, CA 94303-0802

6. Total number of applications and patents involved:
1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account,

8. Deposit account number: 01-1173
(Attached duplicate copy of this page if paying by deposit
account)

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of
the original document.

Susan K. Thomas

(Name of Person Signing)

Susan K. Thomas

Signature

6-2-99

Date

Total number of pages comprising cover sheet: 1

Mail documents to be recorded with required cover sheet information to:
Box Assignments
Assistant Commissioner of Patents and Trademarks
Washington, DC 20231

JC649 U.S. PTO
09/324343

06/02/99

06/14/1999 DNGUYEN 00000113 011173 09324343

01 FC:581

40.00 CH

H:\PATENTS\024.DOC (3/98)

RECEIVED

OCT 09 2003

OFFICE OF PETITIONS

ASSIGNMENT
(JOINT)

WHEREAS, we, Johan H. Geerke and Steven F. Stone have made certain new and useful inventions in METHODS AND APPARATUS FOR DETERMINING FORMULATION ORIENTATION OF MULTI-LAYERED PHARMACEUTICAL DOSAGE FORMS, set forth in an application for Letters Patent of the United States, ☐ which is a provisional application filed herewith; ☒ which is a non-provisional application filed herewith; ☐ bearing Application No. _____, and filed on _____; and

WHEREAS, ALZA Corporation (hereinafter referred to as "ALZA"), a corporation of Delaware, is desirous of acquiring the entire right, title and interest in and to the said inventions; the right to file applications on said inventions; and the entire right, title and interest in and to any applications, including provisional applications, for Letters Patent of the United States or other countries claiming priority to said applications; in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon; and any rights of priority of said inventions, applications and patents.

NOW, THEREFORE, for one Dollar (\$1.00) and other valuable consideration, receipt of which is hereby acknowledged, we have agreed to and do hereby:

SELL, ASSIGN, TRANSFER AND SET OVER unto ALZA, its successors, legal representatives and assigns our entire right, title and interest in and to the above-mentioned inventions, the right to file applications on said inventions, and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, including provisional applications, divisions, continuations, and continuations-in-part of said applications, renewals, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, to the full end of the term or terms for which Letters Patent or Patents may be granted, including any rights of priority of said inventions, applications and patents; together with all claims for damages or other relief throughout the world by reason of misappropriation or other wrongful use or disposition of said invention, or infringement of said patents or patent applications, including the right to sue for, obtain, enforce and enjoy, in its own name, any and all remedies that may be available;

TO BE HELD AND ENJOYED by ALZA, its successors, assigns and legal representatives as fully and entirely as the same would have been held and enjoyed by us had this sale, assignment and transfer not been made; and

WE HEREBY COVENANT AND AGREE for ourselves and our heirs, executors and legal representatives, to assist ALZA, its successors, assigns and legal representatives in the prosecution of said applications, and in any reissue, reexamination, interference or other legal or administrative proceeding, which may arise involving said invention, said patent applications, or said patents, and to execute without further consideration, upon request by ALZA, its successors, assigns, or legal representatives all divisional, continuing, reissue, term extension or other applications for patents in any country, as well as such other documents that may be used to fully secure to ALZA, its successors, assigns and legal representatives the rights, titles and interests granted herein; and

WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any part of them, or upon said inventions or any part thereof, to ALZA Corporation.

IN WITNESS WHEREOF, We have each hereunto set our hands.

Johan H. Geerke this 2nd day of June 1999; and
Johan H. Geerke
Steven F. Stone this 2nd day of June 1999.
Steven F. Stone